GLOBAL COMPLIANCE

MANAGEMENT SOLUTION (GCMS) - INDIA

Lawrbit
GLOBAL COMPLIANCE NETWORK

500+
Entities

15,000+
Users

2,500+
Locations

1,75,000+
Subscribers

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The need for managing Regulatory Risks

The Companies Act, 2013, SEBI Listing Regulations, the Code of Ethics by ICAI, and now the Reserve Bank of India (RBI), have all re-emphasized the importance of adopting industry's best governance practices by implementing a robust Statutory Compliance Management Framework.

The responsibility of Key Managerial Personnels (KMPs), Board of Directors, CFOs, Chartered Accountants, Auditors, Company Secretaries is to devise a proper system to manage compliance with the provisions of all applicable laws which is now clearly established.

It is a daunting task for any Compliance Officer to provide assurance to the Board as number of laws and compliances applicable could range from 50 to 1,000+ and 2,000 to 50,000+ respectively, based on number of entities and locations as part of the group.

- Multiple Legislations & Regulators
- Increasing Risks & Legal complexities
- Common understanding of Legal Obligations
- Data Consolidation & Management
- Segregation of Roles & Responsibilities
- Standardization of Reports & Processes

How Lawrbit helps navigate complex compliance workflows?

GCMS is a preferred choice of the Board, CXOs, Compliance & Risk Officers, General Counsels to improve organizational & group-level compliance culture.

- GCMS integrates flexible and customized workflows with regulatory intelligence from 70+ countries
- Created with decades of experience by its founding team in building technology solutions, consulting MNCs, industry leaders, and start-ups in strengthening their Compliance Framework
- Our clients have experienced an improved adherence, user satisfaction, and reduction in overall cost and time in managing compliance

- GOVERNANCE, RISK AND COMPLIANCE (GRC) SOFTWARE
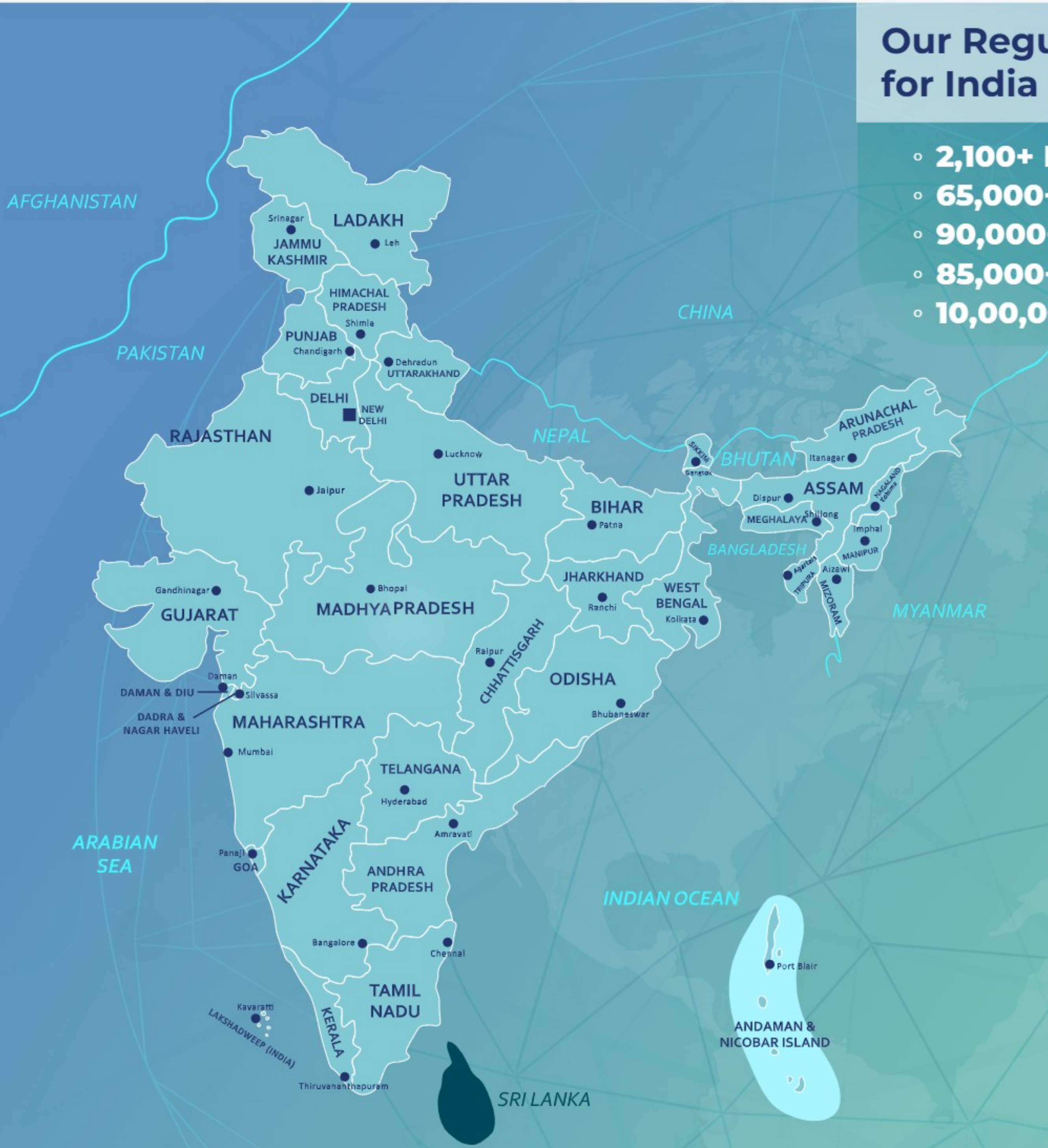- GLOBAL REGULATORY INTELLIGENCE AND CHANGE MANAGMENT

REGULATORY COVERAGE - INDIA
We provide comprehensive coverage of all laws applicable to the business

Our Regulatory Library for India consists of:

- **2,100+** Laws / Rules
- **65,000+** Compliance
- **90,000+** Legal Definitions
- **85,000+** Regulatory Documents
- **10,00,000+** Pages of Law

Central Laws - 400+
Union Govt. & Regulators

State Laws - 1500+
States & Union Territories

Local Laws - 200+
Municipal/ Gram Panchayat

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1 COMPREHENSIVE COVERAGE
60+ Parameters, Legal Documents, Key Terminologies Defined

2 UNIVERSAL COMPLIANCE OBLIGATION LIBRARY
Standardized Data,
4 Quality checkpoints,
13 Types, 4 Categories

3 REAL TIME LEGAL UPDATES
2,000+ Websites Searched Daily
Notifications, Legal Description

SOLUTION ADVANTAGES

4 5 LINES OF DEFENCE
Owner, Approver, HOD
CXO, Auditor

5 REMINDER, NOTIFICATION, ESCALATION, SCHEDULER
Personalised Mailers,
User to define frequencies

6 REPORTS & DASHBOARDS
Heat Map, Geo Map,
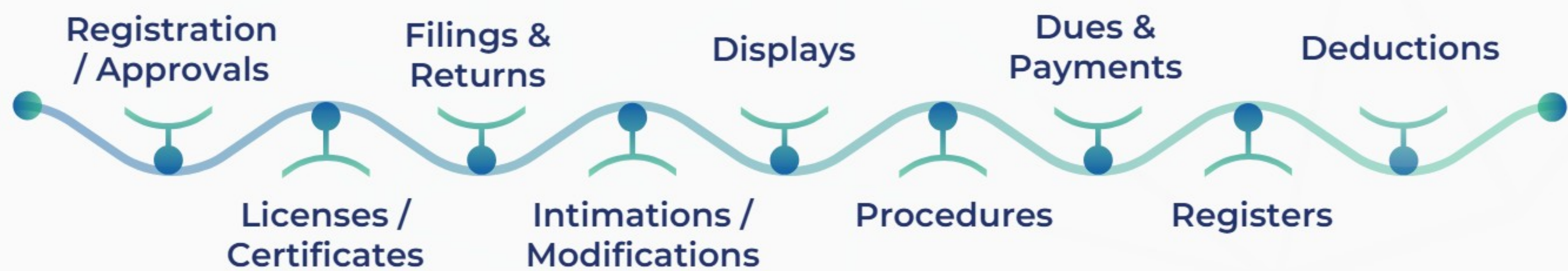Report Builder, Pivot, Drill Down

LEGAL COVERAGE

GCMS provides comprehensive coverage across all types of Laws applicable on the Business

- Corporate & Secretarial
- Finance & Taxation
- HR, Labour, Employment
- Commercial & Admin
- IT & Data Protection
- Environment, Health Safety
- RBI, SEBI, IRDA, IFSCA
- Industry Specific Laws

COMPLIANCE TYPES

Regulators expect you to comply with different types of obligations, we have intelligently bifurcated them to ensure you don't miss any.

Registration / Approvals — Licenses / Certificates — Filings & Returns — Intimations / Modifications — Displays — Procedures — Dues & Payments — Registers — Deductions

60+ Parameters covering various compliance aspects:

- Compliance Description - Section, Para and Rule, Frequency, Filing Requirements, Filing Type, Form Copy, Penalty Type, Estimated Penalty Amount, Risk Categorization, Events, Compliance Type, Category, Additional Information and More...
- Key Terminologies as defined in Act and Rules with section reference
- Act Documents - Copy of Bare Act, Rules, Forms & Formats, etc
- Real-Time Regulatory Updates - Notifications, Amendments, Circulars, Clarifications, Press Releases, Schedules, Ordinances, Guidelines, etc

COMPLIANCE CATEGORISATION

Based on the type of action required, compliances have been further bifurcated into four categories.

01 ONE-TIME
Licenses, Approvals, Registrations, etc

- Licenses, Approvals, Registrations and Certifications required during commencement of business, office, service, product line, etc
- Appears in a loop based on the date and duration of approval granted

02 RECURRING
Monthly, Quarterly, Bi-Annual, Annual Filings

- One of the major concerns of any CXO are filings under various laws, not having a consolidated, vetted, calendar covering all obligations adds to the concern
- Designed to be auto-triggered on the due date in owner's calendar

03 EVENT-BASED
Secretarial & Non-Secretarial Corporate Actions

- Intelligently identifies obligations from all applicable laws across locations for 500+ Corporate Events, auto-assign to relevant user
- 130+ Companies Act Procedures
- 500+ Templates of Board Resolutions

04 ONGOING
Registers, Procedures, Displays, Provisions, etc

- Usually hidden in the fine prints of laws, can only be understood by SMEs, to be followed throughout the business operation
- Self-assessment surveys triggered at a pre-set frequency

TECHNOLOGY FEATURES
Built on the most advanced platform, our solution is feature rich, intuitive and easy to use

WORKFLOW MANAGEMENT

- Five layers of defence - Performer, Approver, Group Owner, CXO and Auditor
- Compliance Organogram in line with reporting structures, cross-functional collaborations
- Role-based access
- Approve, Reject, Reallocate compliance tasks
- Manage third party Compliance (Vendors and Service providers)
- Track Cost of Compliance
- Customized Controls - Event triger rights, mandatory proofs, on-going surveys, and Regulatory update frequency, etc

AUTO-POPULATED CALENDARS

- Auto-populated Calendars for the fiscal year enables resource planning and budgeting
- Real-time auto-updation based legal changes
- Colour coding on lines of the heat map for each date based on compliance status and criticality
- Compliance surveys are auto-triggered on the last date of the period, configure periodicity basis criticality and maturity of the function
- Pre and Post compliance dependencies along with target dates
- View Regulatory and Internal Compliance together

DOCUMENT MANAGEMENT

- Control the type and size of documents allowed as compliance proof
- Download bulk documents
- Advanced search for downloads based on multiple parameters download multiple documents at once
- Users can upload multiple documents and tag them as Evidence, Supporting documents and Working Sheet
- Define Confidentiality tags for each document
- Temper proofing of documents - deletion, modification are disabled post reporting
- Customize uploading and viewing of Compliance Proof as mandatory, by category

Intuitive Reports & Dashboards

- Customized dashboard for CXOs
- Options to select periods, date ranges, drill down to the most granular levels
- Risk, Penalty, Function, Location, Users, Role- wise Analysis, with graphical representations
- "Matrix Report", a custom report builder
  - Create and save multiple report templates
  - Automate Reports on pre-set frequencies
  - Build custom pivots and download charts
- Compliance Certificate for the Board, customise by period, functions, locations, etc

Other Important Features

- Integrated Ticket Centre: Users can raise support tickets, interact and get resolutions
- Manuals to help user learn the system
- User can customise Notification, Reminder settings, define frequency and periodicity
- Internal chat Application: Users can chat with other active users, leave offline messages
- Audit Trails for changes in compliance status
- User rights, settings by Admin
- Contact directory with user details
- Regulatory update mailer frequency

Value Additions

600+ Templates
(Board Resolutions)

130+ Companies Act Procedures
(Updated with recent changes)

200+ Legal Articles & Papers
(India + Global, Published weekly)

INTERNAL COMPLIANCE MODULE

- Customize Forms & Templates
- Add new columns, drop-downs
- Create, Edit, Duplicate & Allocate Compliance
- Create multiple Use Cases & Custom Templates

Internal Tasks • Notices • Agreements • Loan Covenants Controls • Documents • Others

LIFETIME SUPPORT

Offered as SaaS, GCMS comes with our commitment to keep it updated, without client involvement

Technology Upgrades
Underlying technology and app are upgraded frequently to keep up with changing needs and evolving tech landscape

R&D
A substantial portion of revenue is earmarked for R&D, to build new functionalities and ease end-user work

Domain & Tech Support
Team of Lawyers, Chartered Accountants, Company Secretaries and Engineers can be available on call

Support Services
Compliance Health Checks, Business Impact Analysis, Configuration and Operation Support - On Demand

OUR GLOBAL NETWORK

We partnered with legal experts from top-tier Law, and Consulting firms to conduct horizon scanning and develop a comprehensive Global Regulatory Intelligence, delivering a unified application for effectively managing Regulatory Risks worldwide.

OCEANIA
- Australia
- New Zealand

EUROPE
- Austra
- Belgium
- Cyprus
- Czech
- Republic
- Denmark
- Finland
- France
- Germany
- Hungary
- Iceland
- Ireland
- Italy
- Luxembourg
- Malta
- Netherlands
- Norway
- Poland
- Portugal
- Romania
- Russia
- Slovakia
- Spain
- Sweden
- Switzerland
- Ukraine
- United Kingdom

ASIA PACIFIC
- India
- Brunei
- Bangladesh
- China
- Cambodia
- Hong Kong
- Indonesia
- Japan
- Macau
- Malaysia
- Myanmar
- Phillipines
- South Korea
- Singapore
- Sri Lanka
- Taiwan
- Thailand
- Vietnam
- Maldives

SOUTH AMERICA
- Argentina
- Brazil
- Peru

AFRICA
- Algeria
- Botswana
- Ghana
- Ivory Coast
- Kenya
- Liberia
- Malawi
- Morocco
- Mozambique
- Namibia
- Nigeria
- Senegal
- South Africa
- Sudan
- Zambia
- Zimbabwe
- Tanzania

MIDDLE EAST
- Bahrain
- Egypt
- Israel
- Kuwait
- Oman
- Qatar
- Saudi Arabia
- Turkey
- UAE

NORTH AMERICA
- Canada
- Costa Rica
- Guatemala
- Mexico
- U.S.A

1,000+
AUTHORITIES

70+
COUNTRIES

5,000+
REGULATIONS

"Our Regulatory Intelligence is industry- and geography-agnostic, empowering us to address client requirements of any size and scale efficiently and within defined timelines."

SYSTEM & DATA SECURITY

Regulatory Compliance Solutions are as relevant as the data they are based on including legal updates and ensuring data integrity.
With our SaaS offering, we have overcome challenge of infrequent & non standard updates on previous generation solutions

DR, Back Up & Network Controls

- Daily and Monthly data backup, accessed by IT
- Backups on AWS cloud with secured access
- Backup jobs are tested every six months
- Data Encryption using AES 256
- A secondary DB is created on AWS - RDS on real time basis (0.02 seconds)
- Disaster Recovery enabled
- Corporate Wi-Fi and VPN for access controls
- Firewall configuration and Network logs are maintained & assessed
- Identity Management controlled

Architecture, Design & Process Controls

- Two-Factor Authentication to protect identity
- Role-Based Access Controls
- Software development level security protocols
- Strong password policy with age limit
- Risk/Vulnerability Assessment and Remediation
- Automated and Manual Software Testing
- Periodic internal and third party audits
- Change management
- Process in place mitigate
- Security incidents
- Security training for employees

Application Hosting & Infrastructure

- Hosted on AWS, Mumbai in accordance with Data localisation requirements
- DC certified with ISO 27001, ISO 27017, ISO 27018, SOC1/SOC2, PCI-DSS
- Using various AWS products and services to ensure data security and application performance
- Cloud Trail
- Elastic Compute Cloud
- Simple Storage Service
- Relational Database Service
- Identity and Access Mgt (IAM)
- Key Mgt Services (KMS)
- CodeCommit
- CloudFront
- Multi-Factor Authentication

ABOUT US

Lawrbit is a leading RegTech player, committed to being the most trusted partner for organizations globally in achieving Regulatory Compliance excellence, fostering trust, and driving sustainable growth.

Created with over a decade of experience helping businesses globally, our innovative Saas-based GRC stack:

- is designed to simplify Regulatory understanding, and stay ahead of changes; offering real-time updates and insights for proactive compliance management
- empowers users to navigate complex legal landscapes with confidence and ease
- aggregates and analyzes Regulatory Requirements across industries and jurisdictions

Our Offerings

ENTERPRISE GRC PRODUCTS

1. Global Compliance Management Solution
2. Legal Matter Management Solution
3. Enterprise Risk Management Solution

SERVICE OFFERINGS

1. Advisory and Implementation
2. Managed Legal and Operational Support
3. Compliance Health Checks

Global Delivery Center
Jaipur

Corporate Office
Gurgaon, Delhi/NCR

Branch Offices
Mumbai, Pune

Partner Locations
Bengaluru, Chennai, Hyderabad, Kolkata, Tanzania

certin Handling Computer Security Incidents

ISO ISO/IEC 27001:2022 Certified Company

IFSCA INTERNATIONAL FINANCIAL SERVICES CENTRES AUTHORITY

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